

Effects of congenital hearing loss and cochlear implantation on audiovisual speech perception in infants and children

Tonya R. Bergeson*, Derek M. Houston and Richard T. Miyamoto

Department of Otolaryngology-Head and Neck Surgery, Indiana University School of Medicine, Indiana, IN, USA

Abstract. *Purpose:* Cochlear implantation has recently become available as an intervention strategy for young children with profound hearing impairment. In fact, infants as young as 6 months are now receiving cochlear implants (CIs), and even younger infants are being fitted with hearing aids (HAs). Because early audiovisual experience may be important for normal development of speech perception, it is important to investigate the effects of a period of auditory deprivation and amplification type on multimodal perceptual processes of infants and children. The purpose of this study was to investigate audiovisual perception skills in normal-hearing (NH) infants and children and deaf infants and children with CIs and HAs of similar chronological ages. *Methods:* We used an Intermodal Preferential Looking Paradigm to present the same woman's face articulating two words ("judge" and "back") in temporal synchrony on two sides of a TV monitor, along with an auditory presentation of one of the words.

Results: The results showed that NH infants and children spontaneously matched auditory and visual information in spoken words; deaf infants and children with HAs did not integrate the audiovisual information; and deaf infants and children with CIs initially did not initially integrate the audiovisual information but gradually matched the auditory and visual information in spoken words.

Conclusions: These results suggest that a period of auditory deprivation affects multimodal perceptual processes that may begin to develop normally after several months of auditory experience.

Keywords: Audiovisual speech perception, cochlear implants, hearing aids, hearing loss, infants, children

1. Introduction

In typically developing infants, the auditory system is well developed at birth whereas the visual system takes several months to fully develop (Bahrick and Lickliter, 2000; Dobson and Teller, 1978; Gottlieb, 1976). Nevertheless, infants are capable of integrating auditory and visual speech information at a very young age (Kuhl and Meltzoff, 1982; Patterson and Werker, 2003). There is debate as to what role experience plays in acquiring early audiovisual integration skills

for speech. Some researchers have proposed that acquiring complete representations of audiovisual speech gestures requires extensive experience listening to, observing, and perhaps even producing speech. One way of measuring the effects of such experience is to compare audiovisual speech perception skills in normal-hearing infants and deaf infants who receive hearing aids or cochlear implants to restore maximal hearing capabilities. The purpose of the present study is to investigate the development of audiovisual perception of spoken words in infants with normal hearing and hearing loss who vary in chronological age, duration of deafness, and duration of audiological device use.

Young infants are capable of matching auditory and visual information that is naturally coupled in the environment (Bahrick and Lickliter, 2000; Lewkowicz

*Corresponding author: Tonya R. Bergeson, Indiana University School of Medicine, Department of Otolaryngology-HNS, 699 West Drive RR044, Indianapolis, IN 46202, USA. Tel.: +1 317 274 8466; Fax: +1 317 274 4949; E-mail: tbergeso@indiana.edu.

and Kraebel, 2004). In one of the first studies of infants' perception of audiovisual synchrony, for example, Spelke (1976) simultaneously presented two films, one portraying a woman playing peek-a-boo and the other portraying a hand playing percussion instruments, to 4-month-old infants. She then measured infants' looking time to each of the films while a soundtrack corresponding to only one of the films was played, and found that the infants preferred to watch the film that matched the sound track. Several studies have more specifically explored infants' perception and integration of auditory and visual information in speech (Aldridge et al., 1999; Dodd, 1979; Lewkowicz, 2000; Walton and Bower, 1993). In a seminal study of infant audiovisual speech perception, Kuhl and Meltzoff (1982) presented 18- to 20-week-old infants with two faces visually articulating the vowels /a/ and /i/ and one sound track synchronized with one of the articulating faces. They found that the infants looked longer at the matching face than the nonmatching face. More recent studies have also shown that infants as young as 2.5 months of age successfully integrate audiovisual steady-state vowels (Patterson and Werker, 1999, 2003). Finally, infants as young as newborns prefer audiovisually matched presentations of nonnative vowels (Aldridge et al., 1999; Walton and Bower, 1993).

Although infants show remarkable audiovisual matching skills of simple speech stimuli like steady state vowels, other research has shown some limitations on their matching of more complex stimuli. When presented with consonants or combinations of consonants and vowels, infants must correlate the visual and auditory signals that change rapidly over time as they are articulated by the talker. Mugitani, Hirai, Shimada, and Hiraki (2002) found that 8-month-olds had difficulty matching audiovisual information in consonants. On the other hand, MacKain, Studdert-Kennedy, Spieker, and Stern (1983) found that 5- to 6-month-old infants preferred to look longer at matching CVCV displays, but only when attending to the right side. Although they interpreted these results as indicative of left hemisphere speech processing, the results could also suggest that infants do not integrate audiovisual information in complex stimuli as easily as in steady-state vowels.

Despite being capable of matching audiovisual speech information, it remains possible that infants and children still have incomplete representations of the auditory and visual components in speech. Lewkowicz (2000) presented 4-, 6-, and 8-month-old infants with audiovisual syllables (/ba/ and /sha/) and measured their perception of auditory, visual, or audiovisual changes to

these syllables. They found that all age groups detected auditory and audiovisual changes to the syllables, but only the 8-month-olds detected visual changes, unless presented in an infant-directed speech style. These results suggest that infants' perception of the visual components of AV speech may develop more slowly than their perception of the auditory components. In fact, there is still evidence of less visual influence on perception of audiovisual speech, compared to adults, by the time children reach preschool (Desjardins et al., 1997; McGurk and MacDonald, 1976; van Linden and Vroomen, 2008).

Several researchers have related infants' uneven development of auditory and visual perception to their early experiences with listening, observing, and producing speech (e.g., Desjardins et al., 1997; Mugitani et al., 2008). In a study of preschoolers' perception of congruent and incongruent audiovisual syllables, Desjardins et al. (1997) found that the perception of the visual speech gestures was more adult-like in children who had more experience correctly producing consonants such as "th" compared to children who had difficulty producing such consonants. The authors further suggest that the representation of the visible articulation is built up by not just correctly producing consonants but also by the length of time correctly producing consonants. This notion has important implications for infants and children with congenital profound hearing loss who receive cochlear implants, who have no auditory experience prior to cochlear implantation, and who typically do not correctly produce consonants until several months or years following implantation.

One factor that is extremely important for early auditory experience in deaf children is age at implantation. Infants and children who are implanted at an earlier age thus have a shorter duration of deafness and a longer duration of experience with spoken language. In recent analyses of spoken word recognition and sentence comprehension in children with cochlear implants enrolled in a longitudinal study of speech perception and language development, we found that prelingually deaf children showed more improvement in audiovisual and auditory-alone comprehension skills than visual-alone skills over a period of five years following cochlear implantation (Bergeson et al., 2003, 2005). We also found that children who were implanted under the age of 5 years performed better in the auditory-alone and audiovisual conditions than children implanted over the age of 5 years, whereas children who were implanted later had better visual-alone scores than children who were implanted earlier. Finally, pre-implantation per-

formance in the visual-alone and audiovisual conditions was strongly correlated with performance 3 years post-implantation on a variety of clinical outcome measures of speech and language skills.

These results suggest that infants and children with hearing loss learn to utilize any speech information they receive, regardless of the modality. That is, children with less early auditory experience (i.e., implanted after the age of 5 years) actually appear to be more influenced by the visual component of spoken language than children with more early auditory experience. Similarly, in a study of McGurk consonant perception in deaf children with cochlear implants, Schorr, Fox, van Wassenhove, and Knudsen (2008) found that children implanted after the age of 2.5 years were more influenced by the visual component of incongruent syllables than children implanted before the age of 2.5 years. Thus, early auditory and audiovisual experience seems to delay processing of the visual components of audiovisual information, whereas early visual-only experience serves to increase dependence upon the visual components of audiovisual information.

One main goal of the present study is to investigate audiovisual speech perception in normal-hearing infants and children and hearing-impaired infants and children who use hearing aids or cochlear implants. Recent studies have shown that hearing-impaired infants may be able to perceive and integrate audiovisual speech stimuli after approximately 12 months of cochlear implant experience, but audibility plays a role in successful audiovisual integration (Barker and Bass-Ringdahl, 2004; Barker and Tomblin, 2004). Thus, we hypothesize that infants and children with severe-to-profound hearing loss prior to receiving hearing aids and infants and children with profound hearing loss prior to receiving cochlear implants will have difficulty matching auditory and visual signals in a replication and extension of Kuhl and Meltzoff's (1982) audiovisual speech perception task.

Another goal of this study is to investigate the effects of duration of severe-to-profound hearing loss on audiovisual speech perception. If longer durations of early auditory deprivation lead to increased difficulty acquiring audiovisual speech integration skills, then earlier implanted infants and children should perform better on audiovisual speech perception tasks than later implanted infants and children.

The majority of previous studies of infants' perception of audiovisual speech have used isolated steady-state vowels as test stimuli, even though those sounds rarely occur in everyday speech to infants and children.

It is important to measure audiovisual speech input that infants and children experience in their natural environment. Compared to isolated steady-state vowels, spoken words encode highly distinctive auditory and visual phonetic information such as rapid spectrum changes and dynamic movements of the articulators over time. Therefore, a third goal of the present study is to measure the development of audiovisual perception of *words* in normal-hearing infants and hearing-impaired infants with hearing aids or cochlear implants across a variety of ages.

2. Method

2.1. Subjects

Normal-hearing infants and children ($n = 20$; 11 females) ages 11.5–39.5 months ($\underline{m} = 23.9$) were recruited from the local community. Any infants with three or more ear infections per year were administered a tympanogram and otoacoustic emission testing to insure normal hearing.

Infants and children with bilateral hearing loss were recruited from Indiana University School of Medicine (see Table 1). Hearing Aids: Twenty children (9 females) received hearing aids between the ages of 2–19 months ($\underline{m} = 6.2$ months) and were 8–28 months of age ($\underline{m} = 15.6$ months) at time of testing. Their pre-amplification unaided pure tone averages ranged from 38–120 dB ($\underline{m} = 61.5$ dB). An additional three children with hearing aids were excluded because they did not complete testing. Cochlear Implants: Nineteen children (5 females) received a cochlear implant between the ages of 10–24 months ($\underline{m} = 15.6$ months) and were 16–39 months of age ($\underline{m} = 26.6$ months) at time of testing. Their pre-amplification unaided pure tone averages ranged from 67–120 dB ($\underline{m} = 112.0$ dB). An additional eight children with cochlear implants were excluded because they did not complete testing. Hearing-impaired subjects were tested at 3–20 months post-amplification; some were tested at more than one post-amplification interval.

All subjects had normal vision, as reported by their parents. The families were paid \$10/hour for their participation. Families of hearing-impaired infants were also reimbursed for transportation and lodging costs when traveling from long distances.

Table 1
Participant demographics

	Age at amplification (mos)	Pre-amplification unaided PTA (dB)	Device
Cochlear Implant Group			
CI15	13.8	102	Nucleus 24 Contour
CI19	10.3	67	Med-El C 40+
CI22	22.1	97	Nucleus 24 Contour
CI25	16.1	118	Nucleus 24 K
CI28	16.8	118	Nucleus 24 Contour
CI29	16.5	118	Med-El C 40+ [L] Advanced Bionics HiRes 90K [R]
CI34	10.4	112	Nucleus 24 Contour
CI35	16.7	120	Nucleus Freedom–Contour Advance
CI39	17.9	97	Nucleus Freedom–Straight
CI40	13.2	118	Nucleus Freedom–Contour Advance
CI42	12.8	117	Nucleus Freedom–Contour Advance
CI48	20.5	118	Nucleus Freedom–Contour Advance
CI49	20.5	118	Nucleus Freedom–Contour Advance
CI51	10.2	118	Nucleus Freedom–Contour Advance
CI53	11.9	118	Nucleus Freedom–Contour Advance
CI3029	14.5	118	Advanced Bionics HiRes 90K
CI3058	24.2	112	Nucleus Freedom–Contour Advance
CI3307	9.9	118	Advanced Bionics HiRes 90k focus
CI3374	13.6	107	Nucleus Freedom–Contour Advance
Hearing Aid Group			
HA03	2.2	.	Phonak Naida 111 UP
HA07	4.6	41	Oticon Gaia BTEs
HA08	6.2	48	Phonak Maxx 311 BTE
HA09	19.6	46	Phonak Maxx 311 BTEs
HA10	10.6	64	Oticon Gaia BTEs
HA11	6.6	53	Phonak Maxx 211 BTE
HA12	8.4	43	Unison 6 BTEs
HA13	2.0	44	Unitron Unison 6 BTE
HA14	4.7	47	Oticon Gaia BTEs
HA16	14.1	118	Phonak Power Maxx 411 BTEs
HA17	3.4	120	Phonak Maxx 311 BTEs
HA18	4.1	47	Phonak Maxx 311 BTEs
HA20	1.4	120	Phonak Maxx 311 BTE
HA22	8.8	45	Phonak Maxx 311 BTEs
HA24	5.2	38	Oticon Gaia VC BTEs
HA25	6.4	80	Oticon Sumo BTE [L] Oticon Tego Pro BTE [R]
HA3029	3.9	120	Oticon Tego Pro BTEs
HA3551	2.3	104	Oticon Sumo DM
HA3664	7.1	39	Oticon Safran BTEs
HA3699	2.5	76	Oticon Tego Pro BTEs

2.2. Stimulus materials

Audiovisual test stimuli were drawn from the Hoosier Audiovisual Multitalker Database of spoken words, in which a female talker produced CVC mono-syllabic words in a natural adult-directed manner using neutral facial expressions (Lachs and Hernández, 1998; Sheffert et al., 1996). The words “judge” and “back” were used in this study. These two words were selected because their articulations are visually distinctive and the durations of the audiovisual clips are closely matched (“judge” = 0.595 s; “back” = 0.512 s). The auditory stimuli were presented at 65–70 dB HL, well within the audible range for all groups of infants.

2.3. Apparatus and procedure

Testing was conducted in a custom-made, double-walled IAC sound booth. Infants sat on their caregiver’s lap in front of a large 55-inch wide-aspect TV monitor. The experiment was conducted using HABIT software (Cohen et al., 2004). Video clips of the two test words (“judge” and “back”) were presented simultaneously on the left and right sides of the TV monitor. Visual presentation of the test words was counterbalanced across testing sessions (judge-left, back-right versus judge-right, back-left). During the pre-test phase, two silent trials were presented to determine whether individual infants exhibited a response bias for the visual

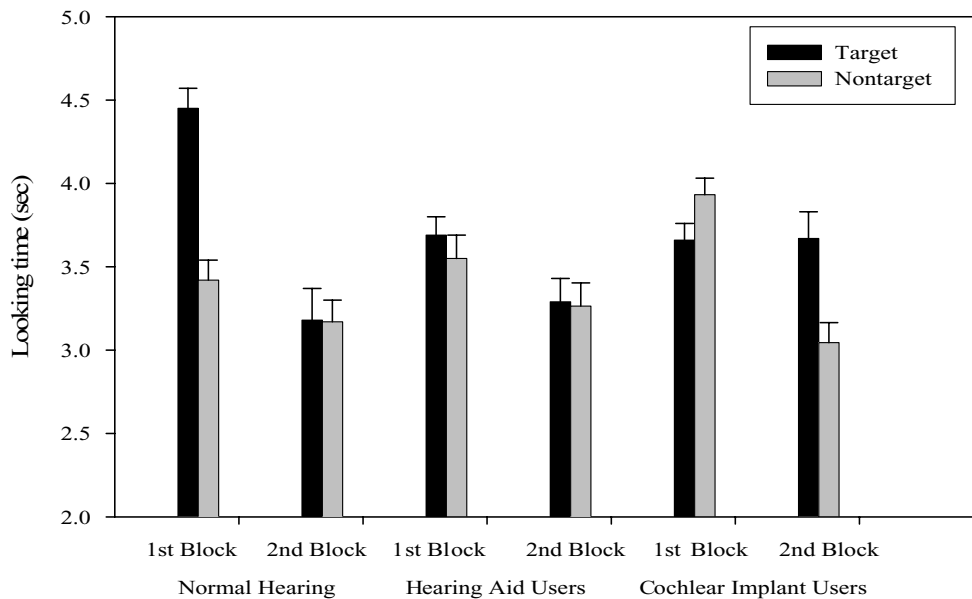


Fig. 1. Total looking time at the matching and nonmatching faces in the first and second blocks of the experiment across hearing status. Error bars indicate standard error.

articulation of one word over the other. During the test phase, the same video clips were presented in each of 16 trials (8 repetitions of the words per trial). Half of the trials were also accompanied by the sound track from one of the spoken words (e.g., “judge”) and half of the trials used the other spoken word (e.g., “back”), in random order. Prior to each trial the infant’s attention was drawn to the TV monitor using an “attention getter” (i.e., a video of a laughing baby’s face).

Each trial was initiated when the infant looked at the attention getter and continued until all 8 repetitions of the word were completed. To assess the direction and durations of the infants’ looking behavior during the test phases, we coded the infants’ looking responses offline using the digital video tape recordings of the testing sessions. All coding was performed by trained research assistants who were blind to the stimulus conditions and experimental hypotheses. All coders were trained on a subset of previously coded videos until they consistently achieved greater than 95% consistency with previous codings.

3. Results

None of the groups of infants and children showed a looking time preference for either word (“judge” or “back”) during the visual-only pre-trial presentations. Because infants and young children often have diffi-

culty maintaining attention for a period of time, we analyzed the results for the first block of trials (trials 1–8) and the second block of trials (trials 9–16) to track children’s attention and interest levels over the course of the experimental session. Moreover, it could also be the case that infants and children with hearing loss might not immediately detect the audiovisual correspondence and instead need extra time to learn that the auditory signal matches only one of the visual signals. Total looking times (s) – averaged across trials in each condition for each block and for each individual group of infants and children – are presented below.

3.1. Normal hearing infants and children

As shown in Fig. 1, normal-hearing infants prefer to look longer at the matching face ($\bar{m} = 3.78$, $s.d. = 0.55$) than the nonmatching face ($\bar{m} = 3.42$, $s.d. = 0.55$) in the first block of trials, $t(19) = 2.15$, $p = 0.045$. In the second block of trials, normal-hearing infants did not show a looking time preference for either the matching face ($\bar{m} = 3.18$, $s.d. = 0.87$) or the nonmatching face ($\bar{m} = 3.17$, $s.d. = 0.57$) face, $t(19) = 0.03$, $p = 0.973$.

3.2. Deaf infants and children with hearing aids

Because hearing-impaired subjects with hearing aids were tested at more than one post-amplification interval, we completed linear mixed-model analyses (SPSS

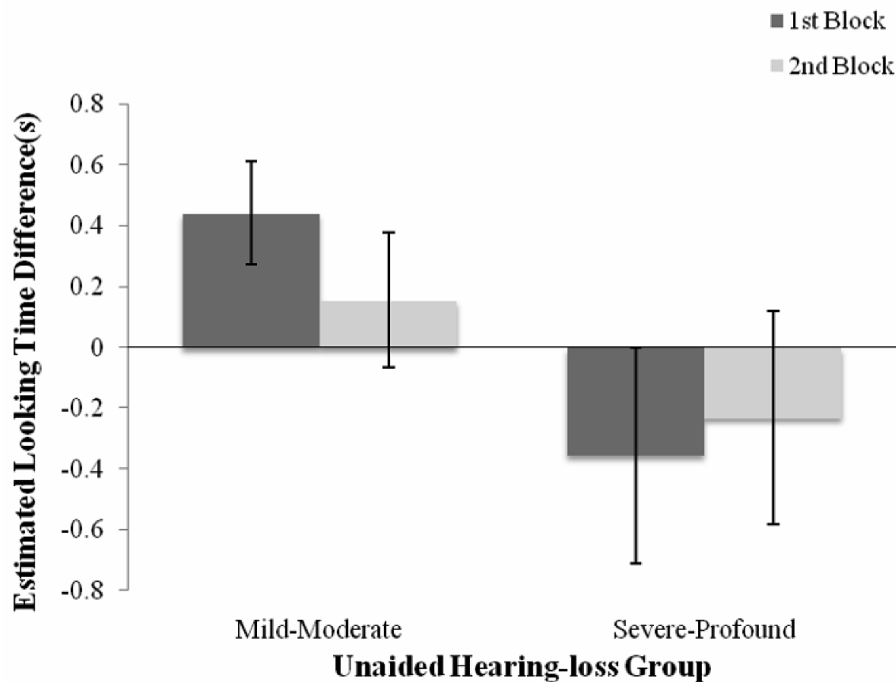


Fig. 2. Looking time differences (looking time to matching face minus looking time to nonmatching face) across levels of pre-amplification unaided hearing thresholds (below and above 70 dB) in infants who use hearing aids. Error bars indicate standard error.

16). Figure 1 shows that hearing-impaired infants with hearing aids did not prefer to look longer at the matching face ($m = 3.69$, $s.d. = 0.62$) than the nonmatching face ($m = 3.55$, $s.d. = 0.78$) in the first block, $F(1, 30) = 0.554$, $p = 0.467$. In the second block, hearing-impaired infants with hearing aids again did not show a looking time preference for either the matching face ($m = 3.29$, $s.d. = 0.76$) or the nonmatching face ($m = 3.26$, $s.d. = 0.79$), $F(1, 30) = 0.014$, $p = 0.906$.

To investigate the effects of pre-amplification unaided pure tone averages on audiovisual speech perception, we compared looking time preferences across children with mild-to-moderate hearing loss (hearing thresholds of 25–70 dB, $n = 12$) versus those with severe-to-profound hearing loss (hearing thresholds over 70 dB, $n = 7$) for each block of test trials (see Fig. 2). Linear mixed-model analyses revealed that looking preferences in children with mild-to-moderate hearing loss and in children with severe-to-profound hearing loss differed significantly in Block 1, $F(1, 16.4) = 4.87$, $p = 0.04$, but not in Block 2. Further analyses revealed that only the children with mild-to-moderate hearing loss looked significantly longer at the matching than nonmatching face ($F(1, 8.1) = 10.90$, $p = 0.01$) in Block 1, whereas those with severe to profound hearing loss did not show any statistically significant looking prefer-

ences in Block 1 or Block 2. These results suggest that, like NH infants and children, children with mild-to-moderate hearing loss are able to match auditory and visual speech information.

3.3. Deaf infants and children with cochlear implants

Because hearing-impaired subjects with hearing aids were tested at more than one post-amplification interval, we completed linear mixed-model analyses (SPSS 16). Figure 1 shows a pattern of preferences across the two experimental blocks that is in direct contrast to the pattern of preferences in the normal-hearing infants and children. In the first block of trials, linear mixed-model analyses revealed that hearing-impaired infants with cochlear implants actually looked slightly longer at the nonmatching face ($m = 3.93$, $s.d. = 0.54$) than the matching face ($m = 3.66$, $s.d. = 0.55$), although the difference was not statistically significant, $F(1, 27) = 2.46$, $p = 0.128$. On the other hand, in the second block of trials, hearing-impaired infants with cochlear implants looked significantly longer at the matching face ($m = 3.67$, $s.d. = 0.85$) than the nonmatching face ($m = 3.05$, $s.d. = 0.62$), $F(1, 27) = 13.56$, $p = 0.001$.

An ANCOVA with face type (matching vs. mismatching) as the independent variable, looking time (s)

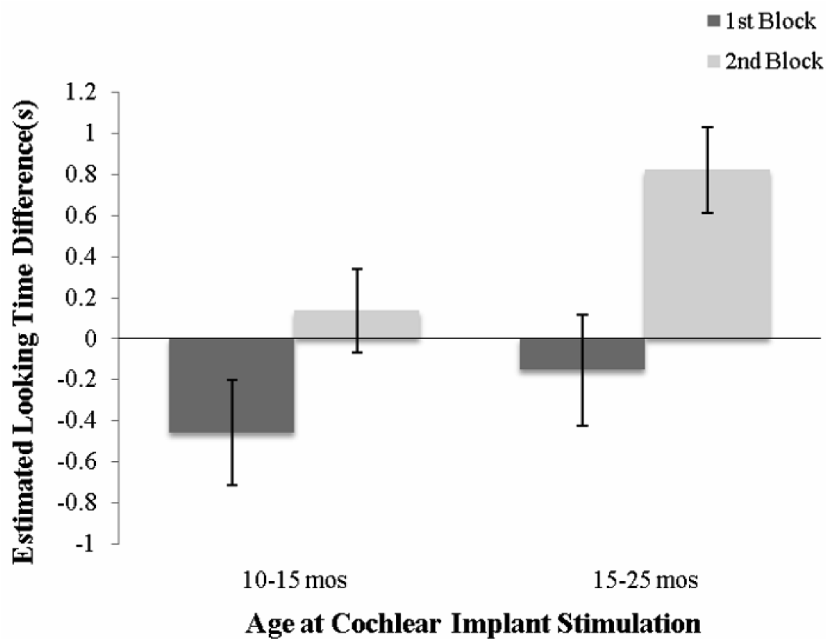


Fig. 3. Looking time differences (looking time to matching face minus looking time to nonmatching face) for infants who received cochlear implants prior to 14 months of age (Early) and after 14 months of age (Late). Error bars indicate standard error.

as the dependent variable, and pre-amplification PTA (dB) as a covariate revealed no effects or interactions with pre-amplification hearing level. To investigate the effects of age at cochlear implant stimulation and duration of cochlear implant use on audiovisual speech perception, we compared looking time preferences across the first and second experimental blocks in infants and children who received cochlear implant stimulation before the age of 15 months (Early, $n = 10$) and after the age of 15 months (Late, $n = 9$) at 3, 6, 12, 18, and 20 months after implantation. Fig. 3 shows that the children in both groups initially looked longer at the nonmatching than the matching face, but then switched preferences to look longer at the matching than the nonmatching face in the second block of trials. Linear mixed-model analyses revealed that performance between groups did not differ significantly in Block 1 but did differ significantly in Block 2, $F(1, 12.7) = 7.40$, $p = 0.02$; only the Late group looked significantly longer at the matching than the nonmatching face, $F(1, 6.5) = 11.41$, $p = 0.01$. There was also a significant effect of post-implantation interval during Block 2, $F(4, 8.3) = 6.80$, $p = 0.01$. Post-hoc analyses revealed significantly worse performance at the 3-month post-implantation interval than the 6-month post-implantation interval ($p = 0.04$, Bonferroni adjustment for multiple comparisons). These findings suggest that performance was influenced by both age at im-

plantation and duration of cochlear implant experience. However, the effect of age at implantation was opposite than predicted – earlier implanted children performed *worse* than later implanted children.

4. Discussion

Based on previous studies of audiovisual speech perception in normal-hearing infants and hearing-impaired infants and children with cochlear implants (Barker and Tomblin, 2004; Bergeson et al., 2003, 2005; Kuhl and Meltzoff, 1982; Patterson and Werker, 2003), we predicted that audiovisual speech perception skills would be influenced by hearing impairment. However, we found that infants and children in all three groups (those with normal-hearing, hearing aids, or cochlear implants) did not look significantly longer at the matching versus nonmatching face while listening to the words “judge” or “back.”

Nevertheless, interesting patterns of performance emerged when comparing looking time preferences across the first and second blocks of the experiment. Normal-hearing infants and children with mild-to-moderate hearing loss initially preferred to look longer at the matching face than the nonmatching face. During the second block of the experiment, however, they looked approximately the same amounts at both the

matching and nonmatching faces. It is possible that once they have successfully matched up the auditory and visual signals they become equally bored with the matching and nonmatching faces. In fact, their looking times do decrease somewhat across the two blocks of trials. Interestingly, infants and children with greater hearing loss prior to receiving their hearing aids did not show the ability to match auditory and visual speech information during either block of trials. Thus, it appears that auditory experience plays a role in audiovisual speech perception.

Additional evidence for this notion is that deaf infants with cochlear implants could not successfully match the auditory and visual information in the spoken words until the second block of trials and that performance was worse at the earliest post-implantation interval. An ANCOVA also revealed that the amount of pre-implantation hearing loss did not affect these results, likely because there was little variance in the levels of hearing loss. Interestingly, infants and children who were implanted earlier did not do as well as those who were implanted later on the audiovisual speech perception task. Recall that Bergeson et al. (2003, 2005) found that children implanted later performed better on the visual-only task of speech comprehension measures, whereas children implanted earlier performed better on the auditory-only and audiovisual portions of the speech comprehension measures. Moreover, Schorr et al. (2008) found similar effects of age at implantation in a replication of the McGurk audiovisual speech perception test (McGurk and MacDonald, 1976). They suggest a sensitive period of approximately 2.5 years for bimodal fusion. After this sensitive period, deaf children with cochlear implants are influenced more by the visual input rather than the auditory input. In the present study, it is possible that the children implanted later process the visual components but must learn the correspondence between the visual and auditory signals, as evidenced by the preference for matching audiovisual stimuli only in Block 2. Moreover, the present results on duration of device use suggest that early-implanted infants and children might eventually show the same bimodal fusion in Block 1 as normal-hearing infants and infants with mild-moderate hearing loss after a sufficient period of cochlear implant experience.

Evidence from studies with animals and studies of human neural responses suggests that the absence of sound during the first several months of life affects neural development at several points along the peripheral auditory pathway and other higher-level cortical areas

(Kral et al., 2000; Leake and Hradek, 1988; Neville and Bruer, 2001; Ponton et al., 1996; Ponton and Eggermont, 2001; Ponton et al., 2000; Ponton et al., 1999; Sharma et al., 2002). Connections between the auditory cortex and other brain structures may not develop normally in congenitally deaf infants, and, as a result, their visual, attentional, and cognitive neural networks may not be strongly linked to their auditory processing skills after receiving a cochlear implant. Moreover, early experience and activities with multimodal stimulus events appear to be necessary for the development of auditory and visual sensory systems and the integration of common "amodal" information from each modality (Lewkowicz and Kraebel, 2004). It is possible, then, that children who have been deprived of auditory sensory input before and immediately following birth because of a hearing loss may not acquire spoken language through normal auditory-visual sensory means.

In summary, the results of the present study reveal that level of hearing loss and age at cochlear implantation do in fact affect the development of audiovisual speech perception. Normal-hearing children, children with more hearing prior to receiving hearing aids, and children who received a cochlear implant later rather than earlier were the most successful at matching auditory and visual components of spoken words. These findings suggest that early auditory experience is very important for developing normal audiovisual speech perception abilities. However, infants and children with hearing loss may learn to rely on the visual modality to aid audiovisual speech perception.

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